

12-04-00

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PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box ☐

Approved for use through 10/31/2002 OMB 0851-0032

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12/01/00

12/01/00 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

PM3

First Inventor

Runje

Title

SYSTEM AND METHOD FOR SECURE ELECTRONIC DIGITAL RIGHTS MANAGEMENT
SECURE TRANSACTION MANAGEMENT AND CONTENT DISTRIBUTION

Express Mail Label No.

EL273484591US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 39]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 48]
5. Oath or Declaration [Total Pages 6]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Powers of Attorney

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner _____

Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or

☒ Correspondence address below

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Norton R. Townsley

Registration No. (Attorney/Agent)

33,608

Signature

Date 12/1/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

355

Complete if Known

Application Number

Filing Date

First Named Inventor

Runje

Examiner Name

Group Art Unit

Attorney Docket No.

PM3

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

Deposit
Account
Name

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check

☐ Credit card

☐ Money
Order

☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
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101	710	201	355	Utility filing fee
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106	320	206	160	Design filing fee
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107	490	207	245	Plant filing fee
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108	710	208	355	Reissue filing fee
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114	150	214	75	Provisional filing fee
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Fee Paid

355

SUBTOTAL (1) (\$)

355

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20** = 0	9	0
2	-3** = 0	40	0
Multiple Dependent		0	0

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
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103	18	203	9	Claims in excess of 20
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102	80	202	40	Independent claims in excess of 3
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104	270	204	135	Multiple dependent claim, if not paid
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109	80	209	40	** Reissue independent claims over original patent
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110	18	210	9	** Reissue claims in excess of 20 and over original patent
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SUBTOTAL (2)

(\$)

0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
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105	130	205	65	Surcharge - late filing fee or oath
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127	50	227	25	Surcharge - late provisional filing fee or cover sheet
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139	130	139	130	Non-English specification
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147	2,520	147	2,520	For filing a request for ex parte reexamination
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112	920*	112	920*	Requesting publication of SIR prior to Examiner action
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113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
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115	110	215	55	Extension for reply within first month
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116	390	216	195	Extension for reply within second month
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117	890	217	445	Extension for reply within third month
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118	1,390	218	695	Extension for reply within fourth month
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128	1,890	228	945	Extension for reply within fifth month
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119	310	219	155	Notice of Appeal
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120	310	220	155	Filing a brief in support of an appeal
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121	270	221	135	Request for oral hearing
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138	1,510	138	1,510	Petition to institute a public use proceeding
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140	110	240	55	Petition to revive - unavoidable
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141	1,240	241	620	Petition to revive - unintentional
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142	1,240	242	620	Utility issue fee (or reissue)
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143	440	243	220	Design issue fee
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144	600	244	300	Plant issue fee
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122	130	122	130	Petitions to the Commissioner
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123	50	123	50	Petitions related to provisional applications
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126	240	126	240	Submission of Information Disclosure Stmt
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581	40	581	40	Recording each patent assignment per property (times number of properties)
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146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
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149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
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179	710	279	355	Request for Continued Examination (RCE)
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169	900	169	900	Request for expedited examination of a design application
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Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

SUBMITTED BY

Name (Print/Type)

Norton R. Townsley

Registration No.
(Attorney/Agent)

33,608

Complete (if applicable)

Telephone

1-310-645-7259

Signature

Norton R. Townsley

Date

12/1/2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Title: SYSTEM AND METHOD FOR SECURE ELECTRONIC DIGITAL RIGHTS
MANAGEMENT, SECURE TRANSACTION MANAGEMENT AND CONTENT
DISTRIBUTION

EXPRESS MAIL MAILING CERTIFICATE

"Express Mail" mailing label No. EL273484591US

Date of Deposit 12/1/2000

I hereby certify that the following documents:

1. Utility Patent Application Transmittal
2. Fee Transmittal Form
3. Check in the amount of \$355
4. Specification of 39 pages
5. 48 sheets of informal drawings
6. Six page Declaration
7. Five Powers of Attorney
8. Return Receipt Postcard

are being deposited in a single envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and such envelope is addressed to the Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231

Norton R. Townsley, Registration No. 33,608


(Signature of person mailing documents)